

James St. Aubin as Chief Investment Officer (CIO) and Ryan Harder as Chief Investment Strategist (CIS)

New Investment Team Members Support our Growth and Commitment to our Clients

Santa Monica, CA (July 26th, 2022) – Sierra Investment Management, Inc., a leader in risk mitigation strategies through tactical investment disciplines, today announced that the firm has hired two investment personnel, James St. Aubin as Chief Investment Officer, and Ryan Harder as Chief Investment Strategist of the Sierra group of companies, effective July 18, 2022. They will both be based in Santa Monica, California, where the firm is headquartered.

“Ken, Dave and I speak for the whole Sierra team as we welcome James and Ryan to the team with no small measure of excitement,” said Skip Schweiss, CEO. “Both have impressive backgrounds in the investing world and in asset allocation in particular. Their views align with Sierra’s tactical approach, and we look forward to them playing significant roles as Sierra continues to grow and succeed.”



James St. Aubin:

Mr. St. Aubin will focus on portfolio management and asset allocation as well as investment related research and will work closely with Sierra co-founders David Wright and Kenneth Sleeper. He joins from Highmark Capital Management, where he was most recently Managing Director, Chief Multi-Asset Strategist.

“It is a pleasure to join Sierra and work with industry visionaries like Skip, Dave and Ken,” said St. Aubin. “Within the financial advisor community, the Sierra mutual funds and Ocean Park Asset Management’s strategies have strong reputations for performance and risk-averse strategies. I look forward to working with an excellent team of investment leaders to continue to provide the best results for our clients.”

Before joining Highmark Capital Management, Mr. St. Aubin spent 15-years working for Smith Barney, Ibbotson/Morningstar, and Wilshire Associates respectively. He earned a Bachelor of Science in Finance from DePaul University, and is a CFA® Charterholder.



Ryan Harder:

In his new role as Chief Investment Strategist, Mr. Harder will be charged with overseeing research, trading, and portfolio management. He joins from Guggenheim Investments where he served as a Portfolio Manager and a Managing Director, and previously worked with WestLB Asset Management in Europe, as an equity research analyst and assistant portfolio manager.

“I am delighted to be joining Sierra in such an exciting time in their history,” said Harder. “Sierra mutual funds and Ocean Park Asset Management strategies are experiencing exceptional growth, and the firm has long been a trusted name with advisors as well as retail clients. I look forward to being a part of Sierra’s continued success and growing presence in the marketplace.”

Mr. Harder graduated from Brock University in Ontario, Canada with a B.A. in Economics, and from the ICMA Centre, University of Reading in the United Kingdom with an M.Sc. in International Securities, Investment and Banking, and is a CFA® Charterholder.

For more information on Sierra Investment Management, please visit www.sierrainvestment.com.

About the Sierra Group:

The Sierra group of companies ("Sierra") comprises **Sierra Investment Management, Inc.**, **Ocean Park Asset Management, Inc.**, and **Wright Fund Management, LLC**, which manages the **Sierra Mutual Funds**, which include **Sierra Tactical Core Income Fund**, **Sierra Tactical Bond Fund**, and **Sierra Tactical Risk Spectrum 50 Fund**.

Since 1987 it has been Sierra's goal to help retirees and other investors preserve and grow their wealth. Through the years, Sierra has fine-tuned risk mitigation disciplines specifically designed to limit downside risk and attempt to provide returns that conservative investors would deem satisfying. The Sierra group manages or advises over \$9 billion in assets.

Investors should carefully consider the investment objectives, risks, charges, and expenses of the Sierra Mutual Funds. This and other information about the Funds is contained in their prospectuses and should be read carefully before investing. The prospectuses can be obtained by visiting sierramutualfunds.com or by calling toll free 1-866-738-4363 (1-866-RETI-FND). The Sierra Mutual Funds are distributed by Northern Lights Distributors, LLC, member FINRA/SIPC.

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Some of the Sierra mutual funds invest in Underlying Funds that invest in foreign emerging market countries that may have relatively unstable governments, weaker economies, and less-developed legal systems, which do not protect investors. In general, the price of a fixed income security falls when interest rates rise. Any strategy that includes inverse securities could cause the Fund to suffer significant losses. Underlying Fund investments in lower-quality bonds, known as high-yield or junk bonds, present greater risk than bonds of higher quality. Municipal securities are subject to the risk that legislature changes and economic developments may adversely affect the value of the Fund's investments. REIT risks include declines from deteriorating economic conditions, changes in property value, and defaults by borrower. Underlying Funds that own small and mid-capitalization companies may be more vulnerable than larger, more established organizations to adverse business or economic developments. In some instances it may be less expensive for an investor to invest in the Underlying Funds directly.

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